

Contents

1	Introduction	1
1.1	Strain State Analysis [7, 8, 9, 10]	2
1.2	CELFA [7, 25, 26, 27, 28]	3
1.3	Organization of the Book	4
References		6

Part I Theoretical Fundamentals of Transmission Electron Microscopy

2	Electron Diffraction	13
2.1	Single-Atom Electron Scattering	13
2.1.1	The Integral Form of the Schrödinger Equation	13
2.1.2	The Atomic Scattering Amplitude for Electrons	15
2.2	Kinematical Approximation	17
2.2.1	The Structure Amplitude	17
2.2.2	The Lattice Amplitude	18
2.2.3	The Thin-Foil Specimen	19
2.2.4	Chemical Sensitivity	21
2.3	The Bloch Wave Approach	24
2.4	Channeling Theory	29
References		31
3	Image Formation	33
3.1	Nonlinear Coherent Imaging	33
3.1.1	Influence of Aberrations and Defocus of the Objective Lens	34
3.1.2	Nonlinear Imaging Theory	36
3.2	Effects of Incoherence	38
3.2.1	Spatial Incoherence	38
3.2.2	Temporal Incoherence	40
3.2.3	The Transmission Cross-Coefficients	42
3.2.4	Delocalization	42
3.3	Reconstruction of the Electron Wave Function	46
3.3.1	Focal-Variation Reconstruction	47

3.3.2 Off-Axis Electron Holography	49
References	53

Part II Digital Image Analysis

4 Strain State Analysis	57
4.1 Displacements and Lattice Spacings.....	57
4.1.1 Noise Reduction.....	58
4.1.2 Detection of Lattice Sites and Gridding	60
4.1.3 Calculation of Lattice Base Vectors	64
4.1.4 Local Displacements and Lattice Spacings	65
4.1.5 Averaged Displacements and Lattice Spacings.....	67
4.2 Determination of the Specimen Thickness	69
4.2.1 Cell Transformation	69
4.2.2 Determination of Relative Thickness Values.....	71
4.2.3 Determination of Absolute Thickness Values	75
4.3 Elastic Relaxation and Finite-Element Calculation	77
4.3.1 The Analytical Solution of the Thin- and Thick-Sample Limits	77
4.3.2 Finite-Element Calculations	80
References	84
5 Lattice Fringe Analysis	87
5.1 On the Basic Ideas Behind CELFA	87
5.1.1 General Aspects and Definitions.....	87
5.1.2 Discussion of Optimum Imaging Conditions	90
5.1.3 Fringe Images	93
5.2 Theoretical Considerations.....	94
5.2.1 Calculation of Reflection Amplitudes.....	94
5.2.2 Determination of Sample Thickness and Phase φ	99
5.3 Practical Considerations	102
5.3.1 Measurement Procedure	102
5.3.2 Example of an Evaluation	105
5.3.3 Alternative Methods and Noise Reduction	108
5.3.4 Errors Due to Objective Lens Aberrations	116
5.3.5 Errors Due to Specimen Thickness Uncertainties	119
5.4 Strain Effects	124
5.4.1 Variation of Lattice Parameter	126
5.4.2 Method for Simulation of Strain Effects	128
5.4.3 Beam Amplitudes and the Effect of Strain	132
5.5 Nonrandom Distribution of Elements	133
References	136

Part III Applications

6	Introduction	139
6.1	Stranski-Krastanov Growth Mode	139
6.2	Segregation in III-V Ternary Alloys	141
	References	144
7	$In_{0.6}Ga_{0.4}As/GaAs(001)$ SK Layers	147
7.1	Experimental Setup	147
7.2	Experimental Results	148
7.2.1	Uncapped Samples	148
7.2.2	Capped Samples	152
7.3	Discussion and Conclusions	159
7.3.1	Strain-Induced Migration of Ga	160
7.3.2	Bulk Interdiffusion	161
7.3.3	Segregation	162
7.3.4	Growth Model	163
7.3.5	Correlation with PL Results	165
7.3.6	Composition Distribution in Free-Standing Islands	165
7.4	Summary	166
	References	167
8	InAs Quantum Dots	169
8.1	Experimental Setup	169
8.2	Experimental Results	171
8.2.1	Conventional Transmission Electron Microscopy	171
8.2.2	Investigation of Uncapped Islands	172
8.2.3	Investigation of Capped Samples	179
8.2.4	Combination of the Results	183
8.3	Discussion	184
8.3.1	Correlation Between TEM and PL Data	184
8.3.2	Segregation Efficiency	185
8.3.3	Segregation Models	185
8.3.4	Modeling	189
8.3.5	Segregation and Mass Transport of Ga	190
8.4	Conclusion	191
	References	191
9	Electron Holography: AlAs/GaAs Superlattices	193
9.1	Experimental Setup	193
9.2	Description of the Method	194
9.2.1	Imaging Condition	194
9.2.2	Experimental Details	196

XII Contents

9.2.3 Delocalization	199
9.2.4 Iterative Computation of the Composition	200
9.3 Discussion	203
9.4 Conclusion	207
References	207
10 Outlook	209
Appendices	211
A List of Acronyms Used	213
References	214
B Fourier Transform, Convolutions and δ-“Function”	215
B.1 Fourier Transform	215
B.2 Convolution	215
B.3 δ -“Function”	216
References	216
Color Plates	217
Index	235

