

# Contents

<b>1. Introduction – Mesoscopic Systems</b> .....	1
1.1 Introduction .....	1
T. Ando	
1.2 Length Scales Characterizing Mesoscopic Systems .....	3
T. Ando	
1.2.1 Fermi Wavelength .....	3
1.2.2 Mean Free Path .....	3
1.2.3 System Size .....	3
1.2.4 Thermal Diffusion Length and Thouless Energy .....	4
1.2.5 Phase Coherence Length .....	5
1.2.6 Diffusive Regime and Ballistic Regime .....	5
1.2.7 Quantum Wires, Dots, and Antidots .....	6
1.2.8 Anderson Localization .....	8
References .....	10
1.3 Landauer's Formula .....	11
T. Ando	
1.3.1 Conductance and Transmission Probability .....	11
1.3.2 Some Applications .....	12
a. Universal Conductance Fluctuations .....	12
b. Conductance Quantization .....	13
References .....	14
1.4 Fluctuations and Aharonov–Bohm Effect .....	15
H. Fukuyama	
1.4.1 Aharonov–Bohm Effect .....	15
1.4.2 Universal Conductance Fluctuations .....	16
1.4.3 Persistent Current .....	17
1.4.4 Fluctuations of Orbital Susceptibility .....	19
References .....	20
1.5 Ballistic Electron Transport .....	22
A. Kawabata	
1.5.1 Quantization of Conductance .....	22
1.5.2 Interaction Effects on Conductance Quantization .....	25
1.5.3 Magnetic Focusing .....	27
1.5.4 Bend Resistance and Transfer Resistance .....	27
1.5.5 Anomaly in Weak-Field Hall Effect .....	28
References .....	30

1.6 Coulomb Blockade .....	31
A. Kawabata	
1.6.1 Introduction .....	31
1.6.2 Single Electron Tunneling .....	31
1.6.3 SET Oscillation .....	32
1.6.4 Tunneling in Superconducting Junctions .....	33
1.6.5 Coulomb Blockade in Quantum Dots .....	36
1.6.6 Resonant Transmission and Kondo Effect .....	37
1.6.7 KTB Transition in Junction Network .....	40
References .....	43
2. Transport in Quantum Structures .....	45
2.1 Tomonaga–Luttinger Liquid in Quantum Wires .....	45
M. Ogata and H. Fukuyama	
2.1.1 Introduction .....	45
2.1.2 Tomonaga–Luttinger Liquid .....	45
2.1.3 Conductance of Finite-Length Quantum Wire .....	49
2.1.4 Quantized Value of Conductance .....	50
2.1.5 Mott–Hubbard Insulator .....	52
References .....	52
2.2 Quantum Wires .....	54
A. Kawabata	
2.2.1 Magnetoresistance and Boundary-Roughness Scattering ..	54
2.2.2 One-Dimensional Electron in Slowly Varying Potential ..	56
2.2.3 Interaction Effects in Quantum Wires .....	58
References .....	60
2.3 Magnetophonon Resonance in Quantum Wires .....	61
N. Mori and C. Hamaguchi	
2.3.1 Introduction .....	61
2.3.2 Theory .....	62
2.3.3 Experiments .....	64
References .....	65
2.4 Quantum Dots and Artificial Atoms .....	66
S. Tarucha	
2.4.1 Quantum Dots Containing a Few Electrons .....	66
2.4.2 Atom-like Properties – Shell Filling .....	67
2.4.3 Atom-like Properties – Spin Effects .....	68
References .....	71
2.5 Antidot Lattices – Classical and Quantum Chaos .....	72
T. Ando	
2.5.1 Antidot Lattices .....	72
2.5.2 Commensurability Peaks .....	72
2.5.3 Aharonov–Bohm Type Oscillation .....	76
2.5.4 Altshuler–Aronov–Spivak Oscillation .....	80
2.5.5 Scattering Matrix Formalism .....	82

2.5.6 Anderson Localization .....	87
References .....	88
2.6 Electric and Magnetic Lateral Superlattices .....	90
Y. Iye	
2.6.1 Lateral Modulation .....	90
2.6.2 Weiss Oscillation .....	90
2.6.3 Magnetic Weiss Oscillation .....	91
References .....	95
2.7 Terahertz Spectroscopy of Nanostructures .....	96
K. Hirakawa	
2.7.1 Introduction .....	96
2.7.2 Swept-Frequency THz Spectroscopy .....	96
2.7.3 Electronic States in Single Quantum Wire Structure .....	96
2.7.4 Blackbody Radiation from Hot Carriers .....	99
2.7.5 Summary .....	102
References .....	102
2.8 Wannier–Stark Effect in Transport .....	104
M. Morifuji and C. Hamaguchi	
2.8.1 Wannier–Stark Effect .....	104
2.8.2 Zener Tunneling and Wannier–Stark States .....	105
2.8.3 Measurements of Zener Current through a p-i-n Diode .....	106
References .....	107
3. Quantum Hall Effect .....	109
3.1 Crossover from Quantum to Classical Regime .....	109
T. Ando	
3.1.1 Bulk Versus Edge Current Picture .....	109
3.1.2 Edge Transport and Bulk States .....	112
3.1.3 Voltage Distribution .....	115
3.1.4 Summary .....	118
References .....	119
3.2 Edge States and Nonlocal Effects .....	120
S. Komiyama	
3.2.1 What Is Edge Current? .....	120
3.2.2 Halperin’s Edge Current .....	120
3.2.3 Local Current Distribution .....	122
3.2.4 Büttiker’s Edge Current .....	123
3.2.5 Nonlocal Resistance .....	125
References .....	130
3.3 Magnetocapacitance and Edge States .....	132
K. Murase, S. Takaoka, and K. Oto	
3.3.1 Spatial Dispersion of Edge States .....	132
3.3.2 Edge States Width and Magnetocapacitance .....	132
References .....	137

<b>4. Electron-Photon Interaction in Nanostructures</b> .....	139
4.1 Introduction .....	139
Y. Arakawa References .....	139
4.2 Theory of Electron-Photon Interaction .....	140
A. Shimizu 4.2.1 Electron and Hole Operators in Insulating Solids .....	140
4.2.2 Effective-Mass Approximation .....	141
4.2.3 Optical Matrix Elements .....	146
4.2.4 Quantum States in Nanostructures .....	151
4.2.5 Quantum Optical Phenomena in Nanostructures .....	154
References .....	154
4.3 Electron-Photon Interaction in Microcavities .....	156
K. Ujihara 4.3.1 Concept of Spontaneous Emission Control .....	156
a. Outline .....	156
b. Spontaneous Emission in a Single Mode .....	157
c. Spontaneous Emission in Free Space .....	159
d. Controlled Spontaneous Emission .....	160
4.3.2 Experimental Results of Spontaneous Emission Control ..	163
4.3.3 Cavity-Polariton Effects .....	164
References .....	166
4.4 Photonic Crystals .....	167
T. Baba 4.4.1 Photonic Crystals and Spontaneous Emission Control ..	167
4.4.2 Band Structure of Photonic Crystals .....	169
4.4.3 Technologies of Photonic Crystals .....	171
References .....	175
4.5 Microcavity Surface Emitting Lasers .....	176
K. Iga 4.5.1 Overview .....	176
4.5.2 Technology for Low Threshold Surface Emitting Lasers ..	177
4.5.3 New Materials for Surface Emitting Lasers .....	178
References .....	180
4.6 Toward Lasers of the Next Generation .....	181
Y. Arakawa 4.6.1 Quantum Dot Lasers .....	181
4.6.2 Microcavity Quantum Dot Lasers .....	183
References .....	185
<b>5. Quantum-Effect Devices</b> .....	187
5.1 Introduction .....	187
K. Furuya References .....	187

5.2 Electron-Wave Reflection and Resonance Devices .....	188
M. Asada	
5.2.1 Introduction .....	188
5.2.2 Epitaxial Growth of CoSi <sub>2</sub> /CaF <sub>2</sub> on Si .....	188
5.2.3 Resonant Tunneling Transistor .....	190
5.2.4 Observation of Hot Electron Interference .....	191
5.2.5 Field-Effect Quantum Device .....	192
References .....	193
5.3 Electron-Wave Coherent Coupling Devices .....	195
N. Tsukada	
5.3.1 Coherent Coupling in Double Quantum Well .....	195
5.3.2 Electron Directional Coupler .....	196
5.3.3 Coherent Oscillation Devices .....	198
5.3.4 Bloch Oscillation Devices .....	199
5.3.5 Coherent Oscillations in ac-Field .....	200
References .....	202
5.4 Electron-Wave Diffraction Devices .....	204
K. Furuya	
5.4.1 Electron Wavefront and Its Manipulation .....	204
5.4.2 Coherence of Electron Wave .....	205
a. Phase Breaking Time Required for Interference .....	205
b. Energy Sharpness Required for Interference .....	206
c. Phase Breaking Time Estimated .....	206
d. Coherence of Electron Wave .....	207
5.4.3 Diffraction of Hot Electron Wave .....	210
References .....	212
5.5 Devices Using Ultimate Silicon Technology .....	213
T. Hiramoto	
5.5.1 Future of VLSI Device Technology .....	213
5.5.2 Silicon Single-Electron Devices .....	214
5.5.3 Integration of MOS and Single-Electron Devices .....	218
References .....	219
5.6 Circuit Systems Using Quantum-Effect Devices .....	220
Y. Amemiya	
5.6.1 Information Processing Architectures .....	220
5.6.2 Binary-Decision-Diagram Circuits .....	220
5.6.3 Local-Interaction Logic Circuits .....	221
5.6.4 Analog Computation Systems .....	222
5.6.5 MOBILE Circuit Systems .....	223
5.6.6 RHET Circuit Systems .....	224
References .....	225

<b>6. Formation and Characterization of Quantum Structures</b>	227
6.1 Introduction	227
H. Nakashima References	227
6.2 Quantum Wires and Dots by MOCVD (I)	228
T. Fukui 6.2.1 Quantum Wires on Vicinal Surfaces 6.2.2 Quantum Dot Formation on Masked Substrates References	228 233 236
6.3 Quantum Wires and Dots by MOCVD (II)	238
Y. Arakawa 6.3.1 Quantum Wires by Selective MOCVD 6.3.2 Quantum Dots by Selective MOCVD 6.3.3 Quantum Dots in 2D V-Grooves 6.3.4 Self-Assembled InGaAs Quantum Dots 6.3.5 Use of Spinodal Phase Separation References	238 240 241 243 244 245
6.4 Quantum Wires on Vicinal GaAs (110) Surfaces	247
H. Nakashima 6.4.1 Introduction 6.4.2 Step Structures 6.4.3 AlGaAs Quantum Wires 6.4.4 GaAs Quantum Wires References	247 247 249 250 254
6.5 Tilted T-Shaped and (775)B Quantum Wires	255
S. Hiyamizu 6.5.1 Introduction 6.5.2 GaAs/Al <sub>0.3</sub> Ga <sub>0.7</sub> As Tilted T-shaped QWRs 6.5.3 Fabrication of GaAs/Al <sub>0.3</sub> Ga <sub>0.7</sub> As Tilted T-QWRs a. Cathodoluminescence Measurements b. Calculation of Electron and Hole States in T-QWRs 6.5.4 Naturally Formed QWRs on (775)B GaAs Substrates a. MBE Growth of GaAs/(GaAs) <sub>m</sub> (AlAs) <sub>n</sub> QWRs b. Photoluminescence Measurements References	255 255 256 257 257 259 259 261 262
6.6 SiGe Quantum Structures	264
N. Usami and Y. Shiraki 6.6.1 Band Modification by SiGe/Si Heterostructures 6.6.2 SiGe Quantum Wells 6.6.3 SiGe Quantum Wires and Dots References	264 265 268 271
<b>Index</b>	273