

# Contents

<b>Chapter 1. Introduction</b>	<b>1</b>
1.1 Influences and Directions	1
1.2 Optical Scanning	2
1.3 Combined Disciplines	3
1.4 Benefits and Limitations	4
1.4.1. Virtue of the Radially Symmetric Substrate	4
1.4.2. Reduced Wobble Near Bragg Transmission	5
1.4.3. Fabrication Accuracy Advantages	5
1.4.4. Partial Benefits	5
1.4.5. Limitations	6
1.5 Organization of This Volume	7
1.5.1. Chapters	7
1.5.2. Appendixes	9
1.6 Summary	9
<b>Chapter 2. Characteristics of Holographic Scanning</b>	<b>11</b>
2.1 A Combination of Holography and Scan Technologies	11
2.2 Holographic Scanner Characteristics	13
2.3 Classification of Holographic Scanning Systems	14
2.4 Characteristics of the Scan Regions	15
2.5 Condition of Objective Lens on Rotating Substrate	17
2.6 Relationship of Substrate Surface to Axis	18
2.6.1. Stationary Orientation	18
2.6.2. Dynamic Orientation	19
2.7 Classification Summary	20
2.8 Scanned Resolution	22
2.8.1. Introduction and Definitions	22
2.8.2. The Displaced Deflector and Augmented Resolution	26
2.8.3. Augmented Scan of the Underilluminated Aperture	29

2.8.4.	Generalized Resolution, Including Scan Magnification	31
2.8.5.	Summary	35
<b>Chapter 3.</b>	<b>Holographic Basics and Techniques</b>	<b>37</b>
3.1	Wavefront and Ray Direction Conventions	37
3.2	The Grating Equation	37
3.3	Sign Convention	40
3.4	The Zone Lens	40
3.4.1.	Infinity-Conjugate Zone Lens	41
3.4.2.	Finite-Conjugate Zone Lens	45
3.4.3.	Generalized Zone Lens	46
3.5	Hologram Formation and Wavefront Reconstruction	48
3.5.1.	Field Interactions	49
3.5.2.	Dual Source Interference	49
3.5.3.	Wavefront Reconstruction	51
<b>Chapter 4.</b>	<b>Holographic Scan Techniques</b>	<b>53</b>
4.1	Illumination-Boundary Constraints	53
4.1.1.	Introduction	53
4.1.2.	Radial Symmetry	53
4.1.3.	Conformal Ray Surface	55
4.1.4.	Rotating Disks	55
4.1.5.	Rotating Cylinders	57
4.1.6.	Rotating Cones	59
4.1.7.	Rotating Spheres	59
4.1.8.	Reflection Holographic Scanners Exposed from the Same Side of the Substrate	60
4.1.8.1.	<i>Introduction</i>	60
4.1.8.2.	<i>Reflective Spheres and Ellipsoidal and Parabolic Variations</i>	60
4.1.8.3.	<i>Reflective Cylinders</i>	62
4.1.8.4.	<i>Reflective Cones</i>	63
4.1.8.5.	<i>Reflective Disks</i>	63
4.1.9.	The Plane Linear Hologram	65
4.2	Rotational Scanner Development	65
4.2.1.	Scanners Providing Surface Component Normal to the Rotating Axis	66
4.2.1.1.	<i>Reflective Holofacet Scanners</i>	66

4.2.1.2.	<i>Variations to Reflective Scanners</i>	71
4.2.1.2.1.	<i>Solid Cylindrical Holographic Scanners</i>	71
4.2.1.2.2.	<i>Cylindrical Form CGH Scanners</i>	76
4.2.1.3.	<i>Transmissive Scanners with Surface Component Normal to the Rotating Axis</i>	77
4.2.1.3.1.	<i>Transmissive Holographic Scanners</i>	77
4.2.1.3.2.	<i>Transmissive Cylindrical Scanners</i>	79
4.2.1.3.3.	<i>Transmissive Conic Substrate Scanners</i>	82
4.2.2.	<i>Scanners Having No Surface Component Normal to the Rotating Axis</i>	83
4.2.2.1.	<i>Transmissive Disk-like Scanners</i>	83
4.2.2.2.	<i>Reflective Disk-like Scanners</i>	84
4.2.2.2.1.	<i>Concave Holographic Scanners</i>	84
4.2.2.2.2.	<i>Reflective Flat Disk Scanners</i>	87
4.2.2.3.	<i>Disk-like Scanners with Auxiliary (Axiconal) Optics</i>	89
4.2.2.3.1.	<i>Design Parameters</i>	91
4.2.2.3.2.	<i>Resolution</i>	93
4.2.2.3.3.	<i>Correction for Scanner Wobble</i>	94
4.2.2.4.	<i>Disk Scanners Operating in the Bragg Regime</i>	96
4.2.2.4.1.	<i>Analysis of the Bragg and Prism Null Conditions</i>	97
4.2.2.5.	<i>Straight-Line Scan from a Plane Linear Grating Deflector</i>	101
4.2.2.5.1.	<i>Development of Straight- Line Scan</i>	103
4.2.2.5.2.	<i>Straight-Line Scan from Counterrotating Plane Gratings</i>	107
4.2.2.5.3.	<i>Straight-Line Scan with Bow Correction</i>	108
4.2.2.6.	<i>Disk Scanners Providing Convergent Beam Output</i>	109

	4.2.2.6.1. <i>Convergent Output with Modified Illumination: Arcuate Scan</i>	109
	4.2.2.6.1.1. <i>IBM POS Configuration</i>	112
	4.2.2.6.2. <i>Modified Zone Plates for Convergent Beam: Arcuate Scan</i>	112
	4.2.2.6.3. <i>Modified Zone Plates for Convergent Beam: Straightened Scan</i>	114
	4.2.2.6.4. <i>Ray-Optimized Convergent Scan Near Bragg Regime: Straightened Scan</i>	115
4.3	Translational Scan	118
	4.3.1. Introduction	118
	4.3.2. Large-Aperture Translational Systems	119
	4.3.2.1. <i>Early Work</i>	119
	4.3.2.2. <i>Attendant Aberration during Arbitrary Scan</i>	121
	4.3.2.3. <i>Translational Focus Variation</i>	122
	4.3.2.4. <i>Translational Scan of Interferometric Holograms</i>	122
	4.3.3. Narrow-Aperture Translational Scan	123
4.4	Multiplexing and Multidimensional Scan	124
	4.4.1. Multiplexing from the Same Facet	125
	4.4.2. Multiplexing from Different Facets	126
	4.4.3. Multidimensional Scan	127
	4.4.3.1. <i>Scan in Direction Other Than Hologram Movement</i>	131
4.5	Computer-Generated Diffractive Scanning	133
	4.5.1. Introduction	133
	4.5.2. Piecewise Deposition of Interferometric Gratings	134
	4.5.3. Conformal Transformations	135
	4.5.4. Additional Considerations for Effective Operation	137
<b>Chapter 5.</b>	<b>Mechanical-Optical Integrity</b>	<b>139</b>
	5.1 Anamorphic Cross-Scan Error Reduction	139
	5.1.1. Fundamental Considerations	139

5.1.2.	Anamorphic Beam Handling for the Deflecting Aperture	141
5.1.2.1	<i>Exemplary Technique: Illumination Normal to Holographic Disk</i>	143
5.1.3.	Translational Error: Output Beam Nonnormal to Diffractive Surface	145
5.1.4.	Anamorphic Beam at Error Fulcrum	146
5.2	Scan Linearity	147
5.2.1.	Introduction	147
5.2.2.	Disk Scanner Nonlinearity	148
5.2.2.1.	<i>Counterrotating Gratings</i>	148
5.2.2.2.	<i>Near-Bragg Condition Disk Scanner Nonlinearity</i>	149
5.2.2.3.	<i>Scan Magnification Near Center of Deflection and General Nonlinearity</i>	152
5.2.3.	Disk Scanner Linearization	153
5.3	Substrate Angular and Translational Errors	154
5.3.1.	Sensitivity to Wobble and Translation	154
5.3.2.	Sensitivity to Surface Deformation	156
5.3.2.1.	<i>Characteristics and Tolerance Criteria</i>	156
5.3.2.2.	<i>Surface Deformation in Reflection</i>	157
5.3.2.3.	<i>Surface Deformation in Transmission</i>	161
5.4	Wavelength-Shift Errors and Their Correction	163
5.4.1.	Introduction	163
5.4.2.	Hologram Aberration Theory	165
5.4.3.	Aberration Evaluation and Balancing Method	167
5.4.3.1.	<i>Balancing for Nonsymmetric Input and Output Beam Angles</i>	168
5.4.3.2.	<i>Two-Step Hologram Construction</i>	168
5.4.4.	Small Wavelength Shift, as from Laser Diode	169
<b>Appendix 1. Historical Review of Holographic Scanning</b>		<b>171</b>
<b>Appendix 2. Annotated Translation of Laser Beam Deflector Utilizing Transmission Holograms by M. V. Antipin and N. G. Kiselev</b>		<b>191</b>
<b>Appendix 3. Scan-Line Bow Minimization, Annotated Abstract from U.S. Patent 4,289,371 by C. J. Kramer</b>		<b>205</b>
<b>References</b>		<b>217</b>
<b>Index</b>		<b>229</b>